

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: Q77760

OGATA, TOSHIYUKI, et al.

Appln. No.: Divisional of 09/922,723

Group Art Unit: Unknown

Confirmation No.: Unknown

Examiner: Unknown

Filed: December 31, 2003

For: POSITIVE RESIST COMPOSITION AND BASE MATERIAL CARRYING LAYER OF  
THE POSITIVE RESIST COMPOSITION

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form which are all the references of record in parent application No. 09/922,723. Applicants are not submitting duplicate copies of these references but requests that they be listed on the face of any patent granted on the above application. (See 37 CFR §1.98(d)). Copies of any cited copending applications, if not previously submitted, are being submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not

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waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

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WASHINGTON OFFICE

**23373**

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Date: December 31, 2003

Substitute for Form 1449 A & B/PTO  <b><u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u></b>  <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	Divisional of 09/922,723
				Confirmation Number	Unknown
				Filing Date	December 31, 2003
				First Named Inventor	OGATA, TOSHIYUKI
				Art Unit	Unknown
Sheet 1 of 2				Examiner Name	Unknown
				Attorney Docket Number	Q77760

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 5,731,126		03/24/1998	Takemura et al
		US 5,691,396		11/25/1997	Takemura et al
		US 5,882,844		03/16/1999	Tsuchiya et al
		US 5,565,304		10/15/1996	Honda
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	4-130324		05/01/1992		Abstract
		JP	2001-51422		02/23/2001	Ogata et al	Yes

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
		Machine-assisted English translation of JP 2001-51422 (provided by Japanese Patent Office) 02/23/2001	
		"Chemical structure of Trisp PA (a compound taught by Honda '304) provided by STN database	

Examiner Signature		Date Considered	
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